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JFW

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450

on June 25, 2004

Carolyn Beason-Wright  
Carolyn Beason-Wright

**ATTORNEY CASE NO. 10832/006**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re: patent application of	)	
	)	
Huiqi Yin	)	Examiner: Stock, Jr., Gordon J.
	)	
SPECTRAL ANALYSIS SYSTEM WITH	)	
MOVING OBJECTIVE LENS	)	Group Art Unit: 2877
	)	
Serial No.: 09/877,773	)	Confirmation No.: 3941
	)	
Filed: June 8, 2001	)	

**AMENDMENT AND REPLY UNDER 37 C.F.R. § 1.111**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Official Action of March 29, 2004, the applicant, through the subscribing attorney of record, hereby amends this application as follows:

The amendment to the specification begins on page 2 of this document.

A substitute Abstract of the Disclosure is presented on page 5 of this document.

The amendment to the claims begins on page 6 of this document.

Two substitute pages of formal drawings containing Figures 1, 2 and 5 submitted in a separate contemporaneously filed document are hereby incorporated by reference.

The remarks concerning this amendment begin on page 12 of this document.



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Date: June 25, 2004

Name: Carolyn Beason-Wright

Signature:

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Huiqi Yin

Appln. No.: 09/877,773

Filed: June 8, 2001

For: SPECTRAL ANALYSIS SYSTEM WITH  
MOVING OBJECTIVE LENS

Attorney Docket No: 10832/006

Examiner: Stock, Jr., Gordon J.

Art Unit: 2877

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ AMENDMENT AND REPLY UNDER 37 C.F.R. §1.111; SUBMISSION OF SUBSTITUTE FORMAL DRAWINGS
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+ \$290=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

6/25/04  
A. James Richardson (Reg. No. 26,983)